

#sector 647
**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

09/282,848

03/31/99

ARTHUR

49617 P0200

0222/0422

DAVID H TANNENBAUM
FULBRIGHT & JAWORSKI
2200 ROSS AVENUE
SUITE 2800
DALLAS TX 75201

NOT ASSIGNED

2747

DATE MAILED:

03/27/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

☐ missing.

☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

G. Moore
Customer Service Center

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Docket No. 49617-P020CP1CP1-990926

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: John Eric Arthur
Serial No.: 09/282,848
Filed: March 31, 1999
Art Unit: 2747
Examiner: Unknown
For: MATRIX SURROUND DECODER/VIRTUALIZER

Box Missing Parts
Assistant Commissioner
for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date deposited: May 13, 1999

Signature: LaDonna K. Johnson

Printed Name: LaDonna K. Johnson

**COMPLETION OF FILING REQUIREMENTS
—NONPROVISIONAL APPLICATION**

1. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed April 22, 1999.
- ☒ A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

2. ☒ A declaration signed by John Eric Arthur, inventor is enclosed herewith.

SMALL ENTITY STATUS

3. ☐ A statement that this filing is by a small entity is attached.

COMPLETION FEES

4. Filing Fee

- ☐ Original patent application
(37 C.F.R. 1.16(a)) \$
- ☐ Fees for Claims
Each independent claim in excess of 3 =
(37 C.F.R. 1.16(b)) \$
Each claim in excess of 20 =
(37 C.F.R. 1.16(c)) \$
- Total completion fees \$

EXTENSION OF TIME

5. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

6. The total fee due is:
- | | |
|-------------------------------|---------------|
| Completion fees | \$ |
| Extension fee | <u>130.00</u> |
| Total Fee Due <u>\$130.00</u> | |

PAYMENT OF FEES

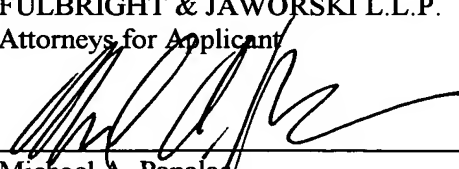
7. Enclosed is a check in the amount of \$ 130.00.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

8. The Commissioner is hereby authorized to charge any deficiency in the enclosed fees, or credit any overpayment in the enclosed fees that may be required by this paper and during the pendency of this application to Account No. 06-2380.

Respectfully submitted,

FULBRIGHT & JAWORSKI L.L.P.
Attorneys for Applicant



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Date: May 13, 1999